
Active Contour Models & Active Shape Models (Snakes & Smart Snakes)

Image Analysis Group
Chalmers University of Technology

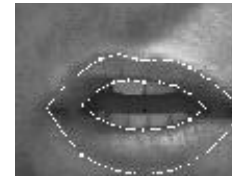
Contents

- Motivation, applications and problem description
- Active Contour Models (Snakes)
- Active Shape Models (Smart Snakes)

Applications



Human-computer interaction



Lip-reading

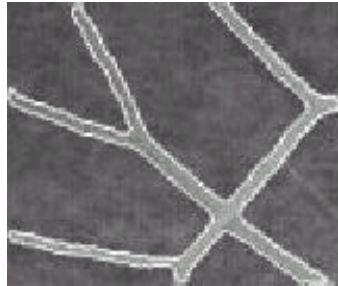


Surveillance/speed control

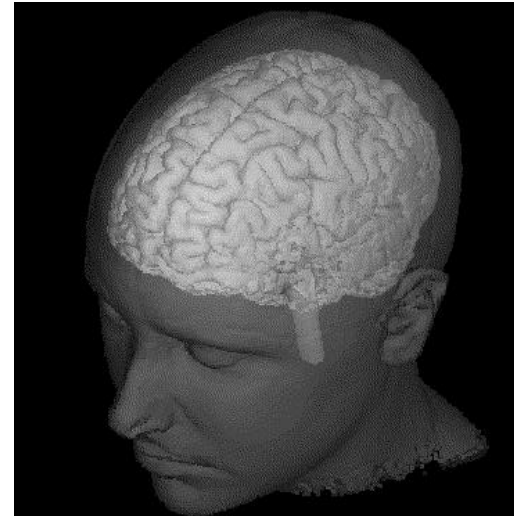


Face recognition

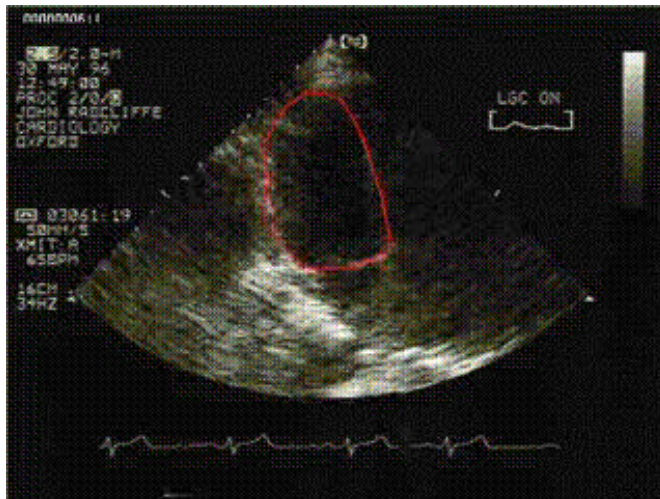
Applications - Medical



Blood vessels



3D brain

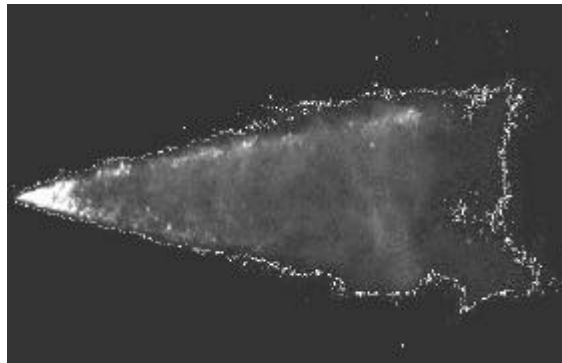


Heart in ultrasound

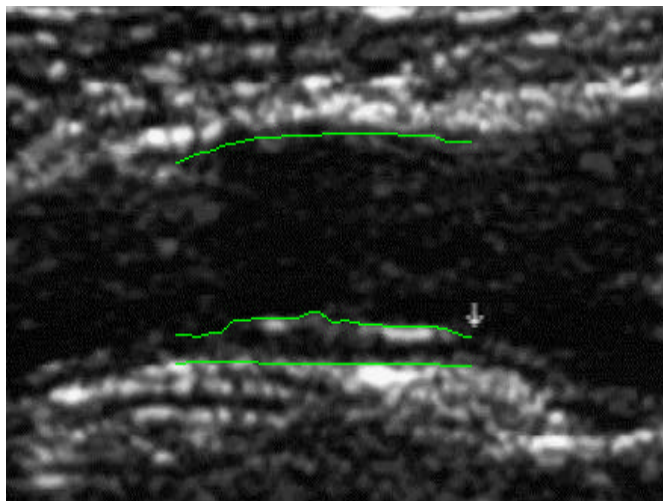


brain in MR

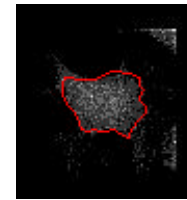
Applications - Our group



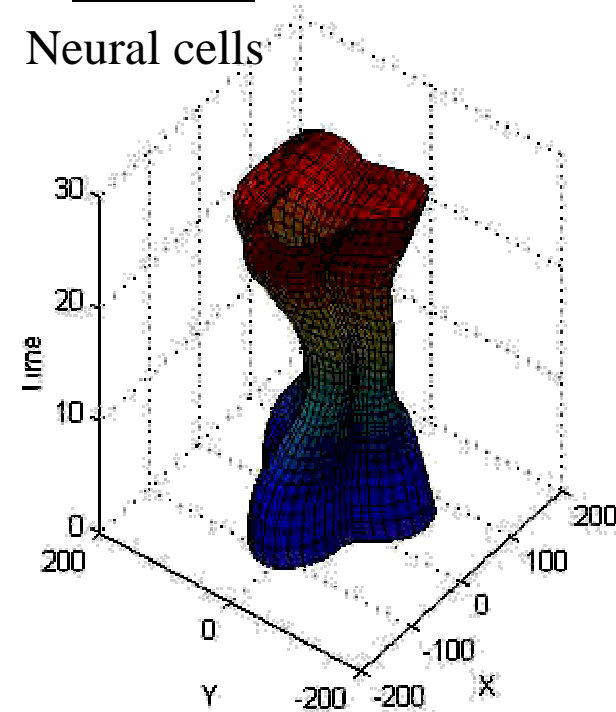
Spray segmentation



Boundary detection



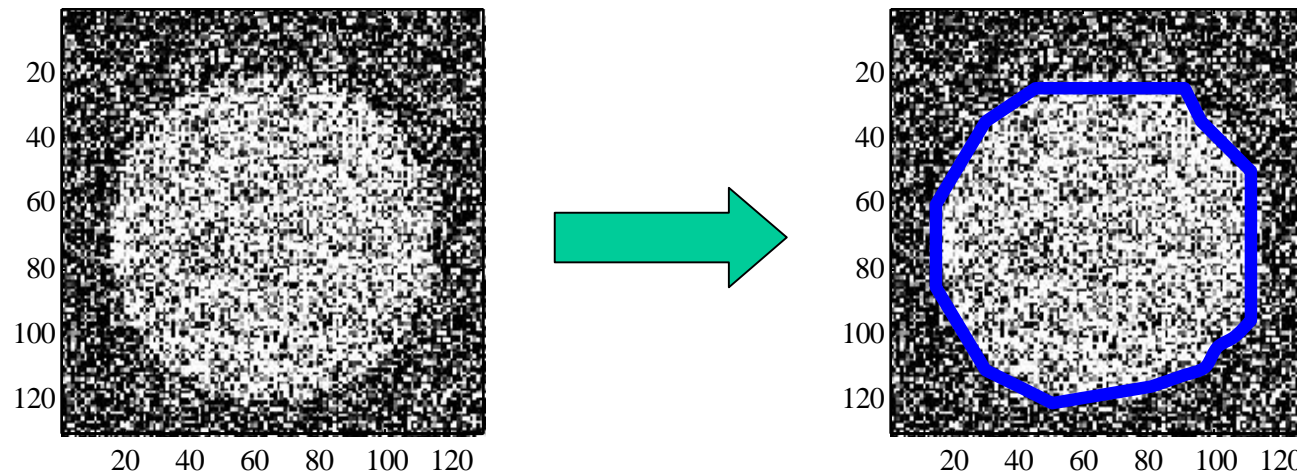
Neural cells



Spatio-Temporal Segmentation

Problem

**The basic problem is to locate an object
in an image (segmentation, detection)**



Part I

Active Contour Models (Snakes)

Contents for ACM

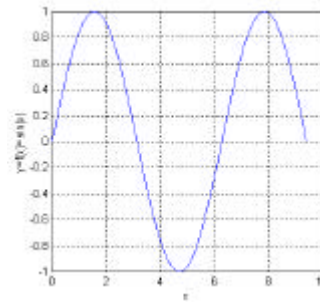
- Active Contour Models (Snakes)
 - Contour representation
 - Contour Energy
 - Formulation
 - Discretization
 - Segmentation
 - Notes

Contour Representation

Although some curves can be represented explicitly by equations of the form:

$$y = f(x)$$

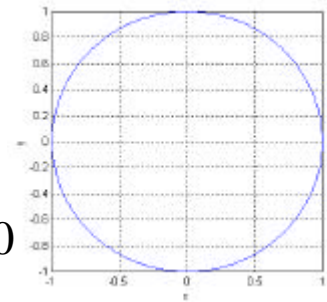
$$y = \cos(x)$$



or...

$$f(x, y) = 0$$

$$f(x, y) = x^2 + y^2 - 1 = 0$$

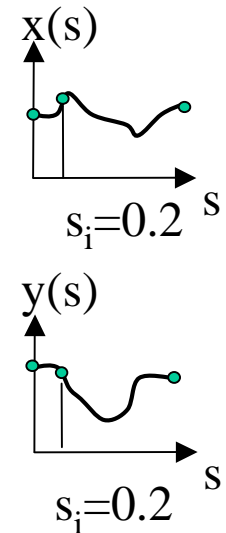
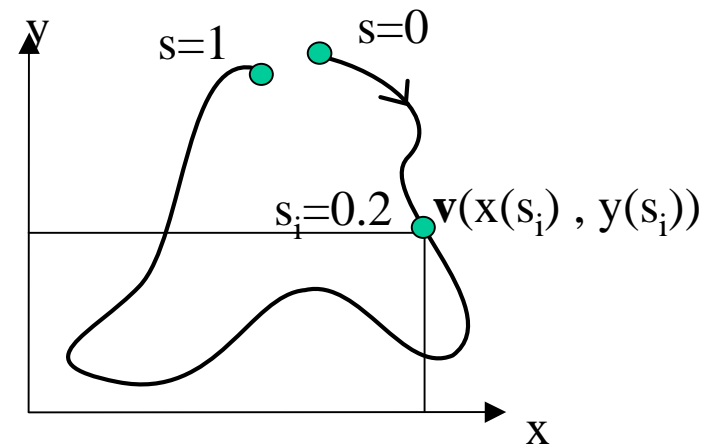


When working with complex contours usually implicit and parametric representations are used

$x = x(s); y = y(s)$ for a parameter s .

E.g. an ellipse for example is represented by $x = a \cos(s); y = b \sin(s), s \in [-p, p]$

$$\mathbf{v}(s) = [x(s) \quad y(s)]^T, s \in [0, 1]$$

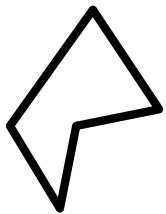


Contour Energy - Internal

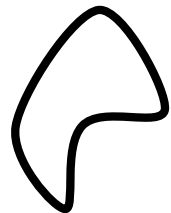
Assign low energy values to 'good' contours and high energy to 'bad' ones

Internal energy

In natural objects we usually require smooth contours



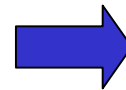
High energy



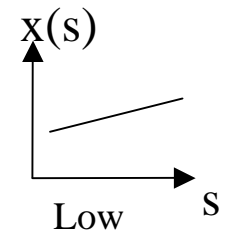
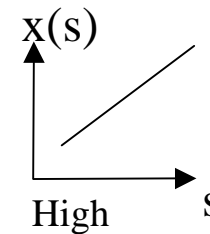
Low energy

Internal Energy depends on the shape of the contour itself.

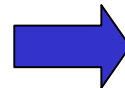
small $\frac{\partial \mathbf{v}}{\partial s}$



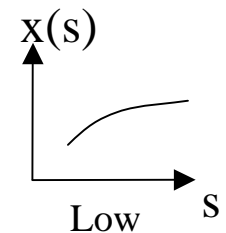
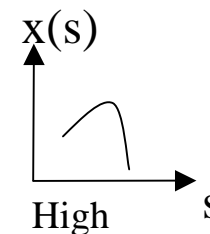
tension in the contour, low internal energy



small $\frac{\partial^2 \mathbf{v}}{\partial s^2}$



No bending in the contour, low internal energy

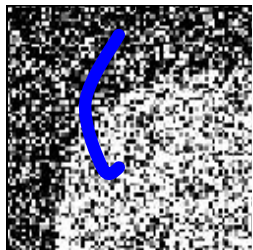


Contour Energy - External

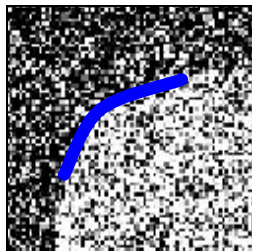
Assign low energy values to 'good' contours and high energy to 'bad' ones

External energy

When locating an object in an image...



High energy



Low energy

External Energy is derived from the image data

Look for high intensity gradient
(watch out for noise - gradient of smoothed image)

high $\nabla[G_s * I(x, y)]$  Low external energy

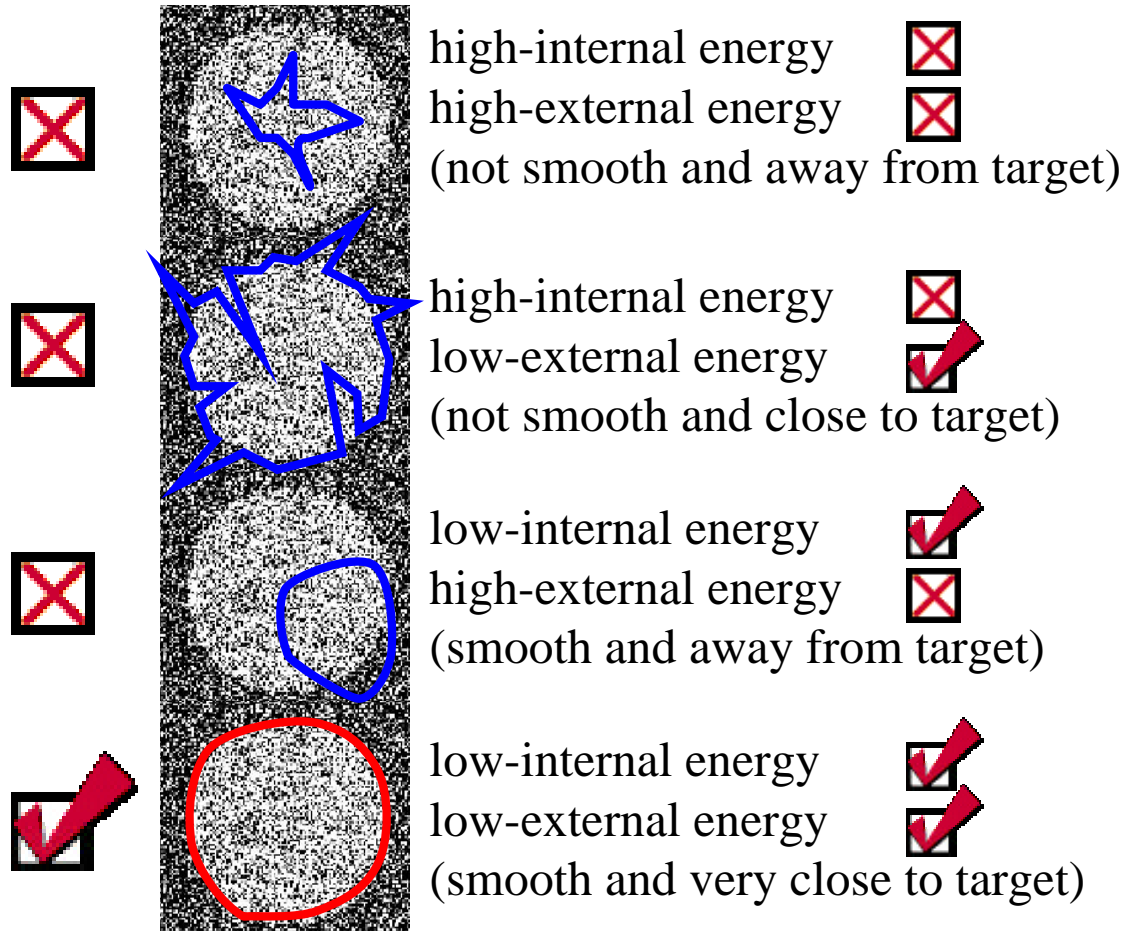
$G_s * I$ image convolved with a smoothing (ex. Gaussian) filter.

$I(x, y)$ image intensity

S parameter controlling the extent of the smoothing (ex. variance of Gaussian).

*could have other than gradient e.g.
intensity, termination, corners...*

Contour Energy - Examples



Formulation

Our problem is to find the contour that minimizes the total energy (internal+external) along the contour.

$$\mathbf{x}(\mathbf{v}) = \mathbf{a}(\mathbf{v}) + \mathbf{b}(\mathbf{v})$$

$$\mathbf{a}(\mathbf{v}) = \int_0^1 w_1(s) \left| \frac{\partial \mathbf{v}}{\partial s} \right|^2 + w_2(s) \left| \frac{\partial^2 \mathbf{v}}{\partial s^2} \right|^2 ds$$

$$\mathbf{b}(\mathbf{v}) = \int_0^1 P(\mathbf{v}(s)) ds$$

$$\mathbf{v}(s) = [x(s) \quad y(s)]^T, s \in [0, 1]$$

$$P(x, y) = -c |\nabla [G_s * I(x, y)]|$$

w_1 and w_2 control the tension and rigidity

In accordance with the *calculus of variations*, the contour must satisfy vector valued partial differential (Euler-Lagrange) equation:

$$-\frac{\partial}{\partial s} \left(w_1 \frac{\partial \mathbf{v}}{\partial s} \right) + \frac{\partial^2}{\partial s^2} \left(w_2 \frac{\partial^2 \mathbf{v}}{\partial s^2} \right) + \nabla P(\mathbf{v}(s)) = \mathbf{0}$$

first two terms:

internal stretching and bending forces

the third term:

external forces

minimization balance of forces

Discretization

Require a discrete setting:

$$\mathbf{v}(s) \rightarrow \mathbf{v}(s_i) ; i = 1, 2, \mathbf{K}, N$$

$$\frac{\partial \mathbf{v}(s)}{\partial s} \rightarrow \mathbf{v}(s_{i+1}) - \mathbf{v}(s_i)$$

$$\int \mathbf{L} ds \rightarrow \sum$$

Segmentation

- Deform an initial contour to one having less energy.
- Apply forces that decrease the energy.

$$\text{Tensile force}_i = 2\mathbf{v}(s_i) - \mathbf{v}(s_{i-1}) - \mathbf{v}(s_{i+1})$$

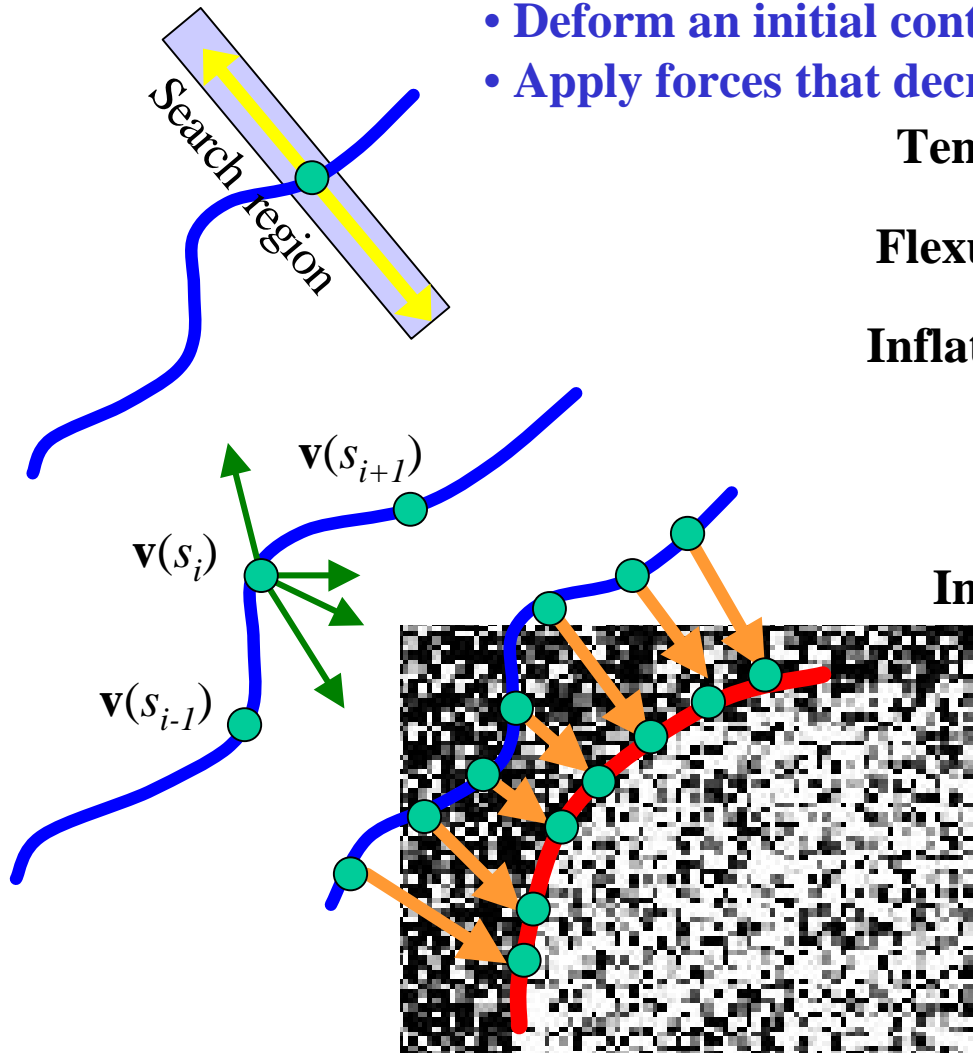
$$\text{Flexural force}_i = 2\mathbf{a}(s_i) - \mathbf{a}(s_{i-1}) - \mathbf{a}(s_{i+1})$$

$$\text{Inflation force}_i = qF(I(x(s_i), y(s_i)))\mathbf{n}_i$$

$$F(I(x, y)) = \begin{cases} +1 & \text{if } I(x, y) \geq T \\ -1 & \text{otherwise} \end{cases}$$

$$\text{Image force}_i = p\nabla P(x, y)$$

$$P(x, y) = -c|\nabla[G_s * I(x, y)]|$$



p, q : constants
 \mathbf{n}_i : unit vector normal
 to the contour at s_i

Notes

Snakes/Active contour models/deformable contour:

The contour is actively changing and deforming to have less energy
(deformable \sim elasticity)

Disadvantages of manual segmentation:

- Difficult to obtain reproducible results
- Operator bias
- Viewing each 2D-slice separately
- Operator fatigue and time consuming

Types of deformable models/active contours:

- snakes
- deformable templates
- dynamic contours

Extensions to basic Snakes:

- Inflation force (makes snake less sensitive to initial conditions)
- ‘dynamic programming’ and ‘simulated annealing’ (search for global minima)
- Region-based image features
- Changing topology

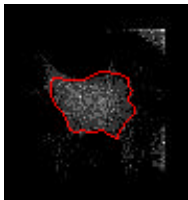
Part II

Active Shape Models (Smart Snakes)

Contents for ASM

- Active Shape Models (Smart Snakes)
 - Observation
 - Overview
 - Shape representation
 - Considerations
 - Training ASM
 - Applying ASM
 - Extensions

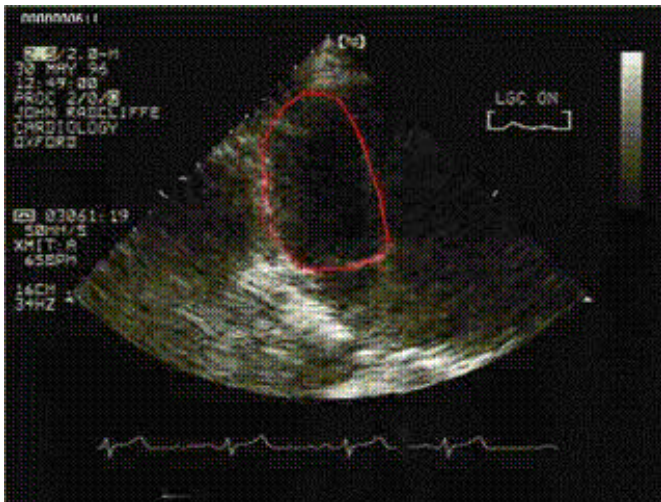
Observation



Why use the same ‘Snakes’ to locate different types of objects?

Incorporate knowledge about the desired object in the snake model

Train the snake smart snake



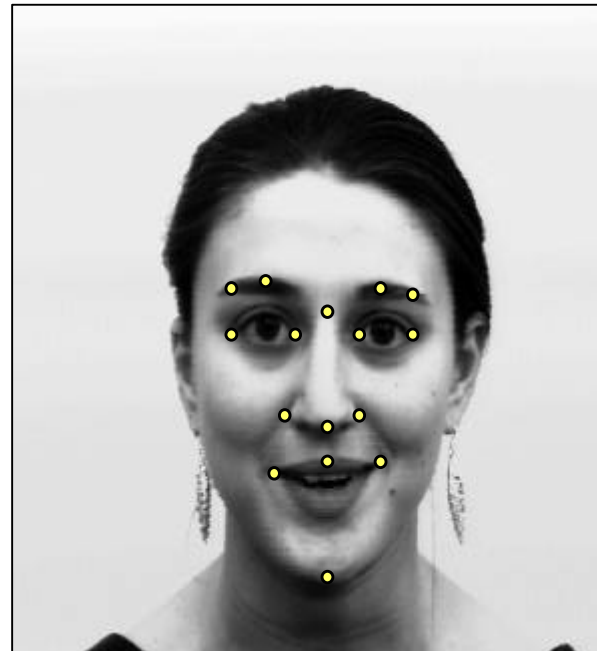
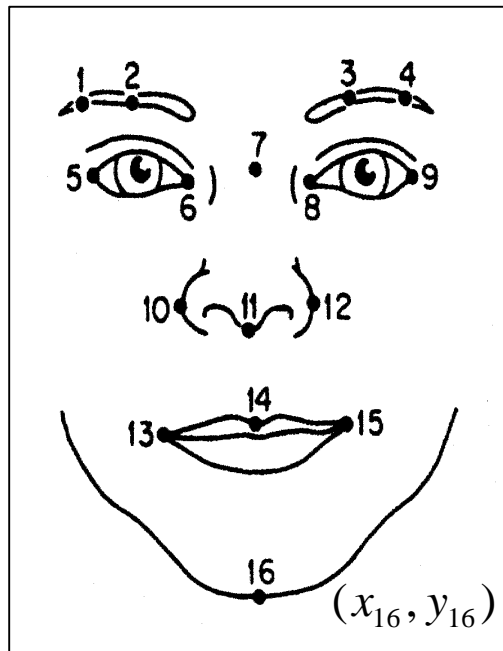
Overview

TRAINING **&** **APPLICATION**
*search for the hidden object
armed with training results*

*Shape training
& gray level training*

Shape Representation

Shapes are represented
by *landmarks*



$$\mathbf{x} = \begin{bmatrix} x_1 \\ y_1 \\ x_2 \\ y_2 \\ \mathbf{M} \\ x_k \\ y_k \\ \mathbf{M} \\ x_{16} \\ y_{16} \end{bmatrix}$$

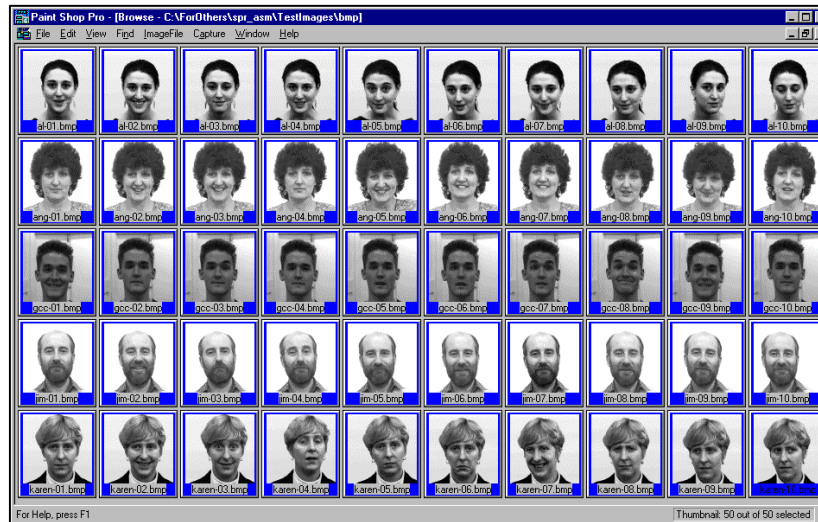
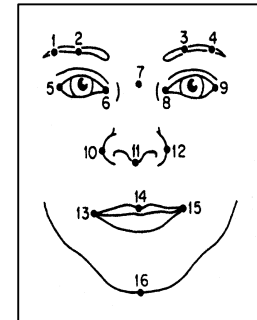
Considerations

**Type of
object to
model**



Example: faces

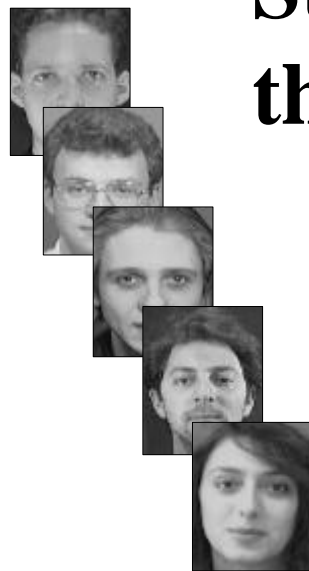
**Locations
of the
Landmarks**



**Collect
images of
the object**

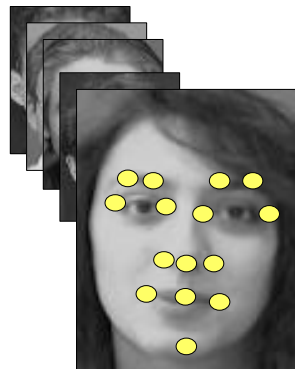
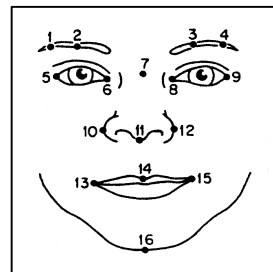
Shape Training

**Study the variations of
the landmark positions**



Training
set of
images

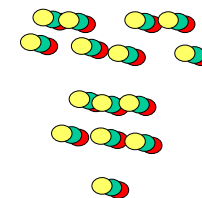
Labeling



Shapes
represented
by landmarks

Aligning

Now,
we can...



Aligned shapes

Shape Training Result - PDM

Capture the main modes of variation of the landmark positions

Using Principal Component Analysis (PCA) we obtain the...

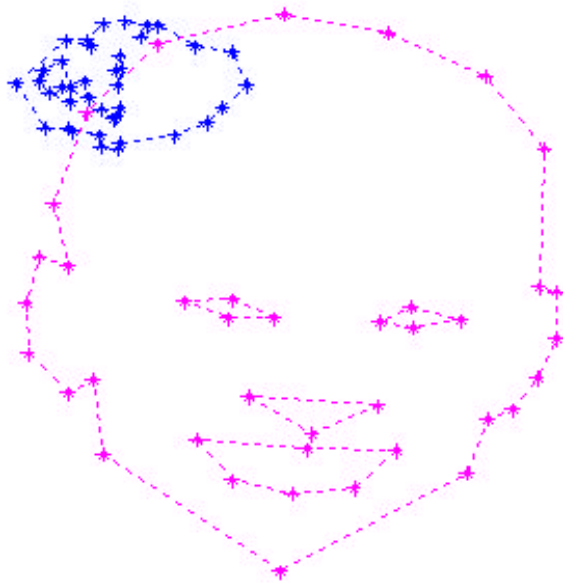
Point Distribution Model (PDM)

$$\underset{2L \times 1}{\mathbf{X}} = \underset{2L \times 1}{\bar{\mathbf{X}}} + \underset{2L \times t}{\mathbf{P}} \underset{t \times 1}{\mathbf{b}}$$

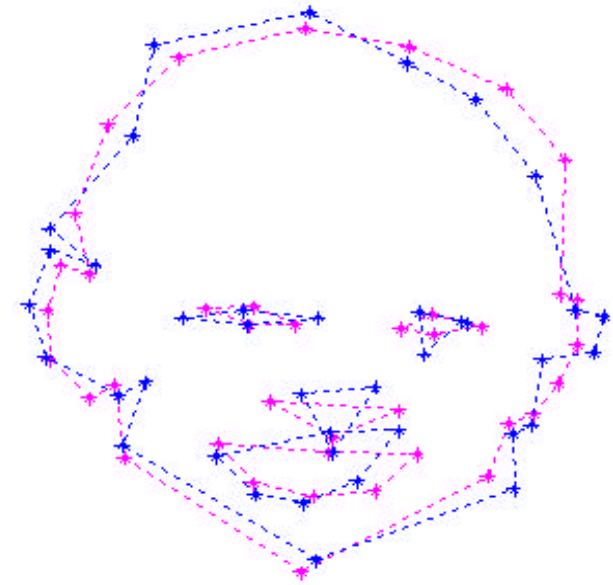
L: number of Landmarks

A Shape = the mean shape + weighted sum of different shape variation modes

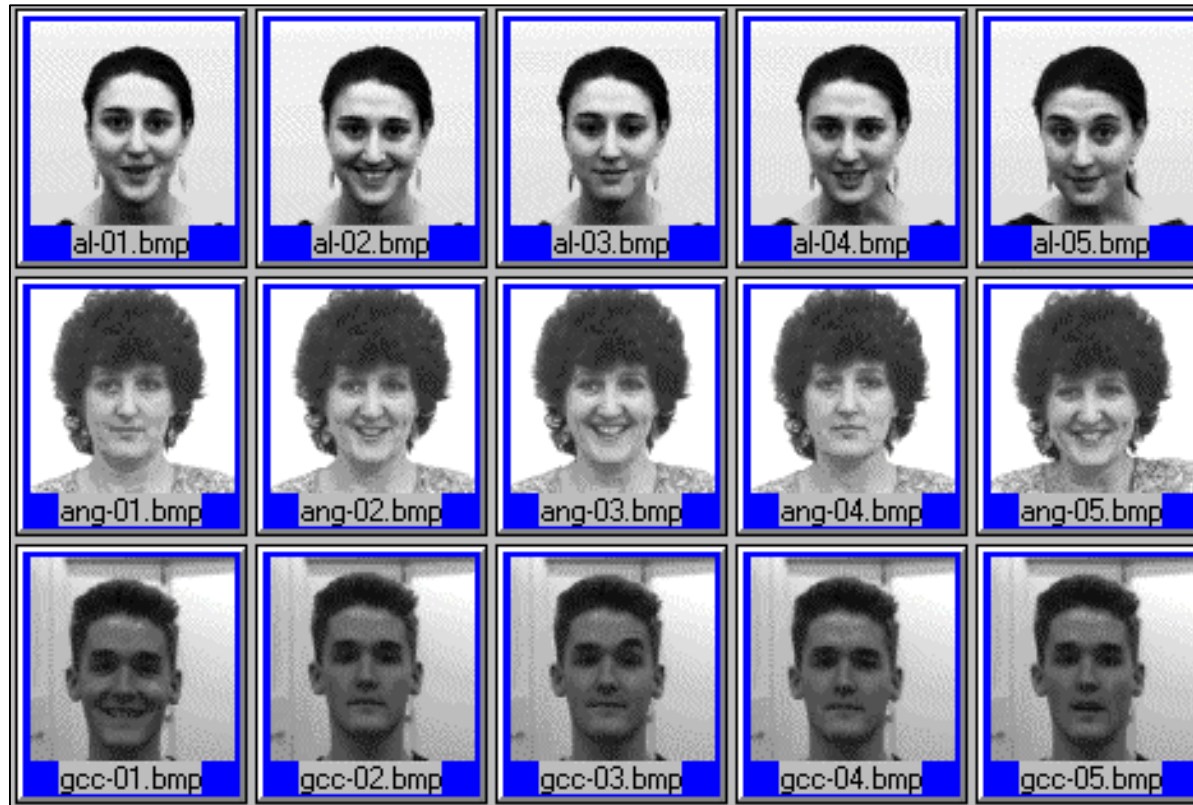
Aligning



**Rotate, scale,
and translate
to *minimize*
the distance
between
landmarks**



Variation modes



Causes of variations...

- 3D pose
- Expression
- Identity (subject)

Shape Training Equations

$$\mathbf{x} = \bar{\mathbf{x}} + \mathbf{P}\mathbf{b}$$

$$\mathbf{x} = [x_1, y_1, x_2, y_2, \dots, x_n, y_n]^T$$

$$\bar{\mathbf{x}} = \frac{1}{N} \sum_{i=1}^N \mathbf{x}_i$$

$$\mathbf{P} = [\mathbf{p}_0 \quad \mathbf{p}_1 \quad \dots \quad \mathbf{p}_{t-1}]$$

$$\mathbf{b} = [b_0 \quad b_1 \quad \dots \quad b_{t-1}]^T$$

Constrained, only allowable variations

\mathbf{P} is obtained from the eigenvectors of the covariance matrix (PCA)

$$\mathbf{C}_x = \frac{1}{N-1} \sum_{i=1}^N (\mathbf{x}_i - \bar{\mathbf{x}})(\mathbf{x}_i - \bar{\mathbf{x}})^T$$

$$\mathbf{C}_x \mathbf{p}_i = \lambda_i \mathbf{p}_i$$

The corresponding eigenvalues equal the variance explained by each variation mode

Gray Level Training

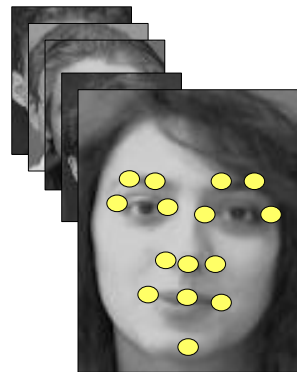
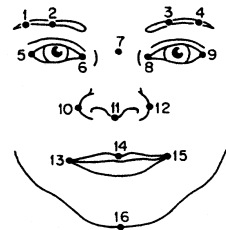
**Study the gray levels
around the landmarks**

Now,
we can...

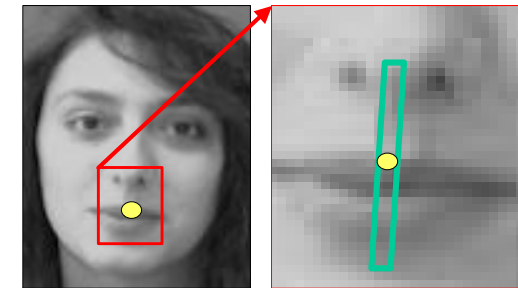
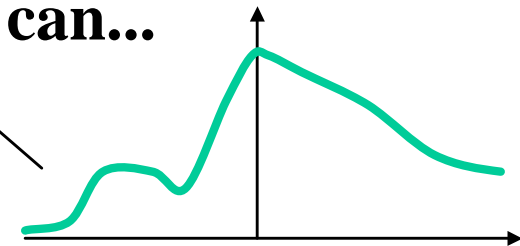


Training
set of
images

Labeling



Shapes
represented
by landmarks



Extract gray level
profiles around
landmarks

Gray Level Training Result

**Summarize the
information about the
Gray levels around
landmarks**

Each landmark



Mean profile

mean normalized derivative profile

Gray Level Training Equations

$$\mathbf{g}_{ij} = \begin{bmatrix} g_{ij1} & g_{ij2} & \dots & g_{ijn_p} \end{bmatrix}^T$$

Gray level profile for landmark j in image i

$$d\mathbf{g}_{ij} = \begin{bmatrix} g_{ij2} - g_{ij1} & g_{ij3} - g_{ij2} & \dots & g_{ijn_p} - g_{ijn_p - 1} \end{bmatrix}$$

The derivative profile for landmark j in image i

$$\mathbf{y}_{ij} = \frac{d\mathbf{g}_{ij}}{\sum_{k=1}^{n_p-1} |d\mathbf{g}_{ijk}|}$$

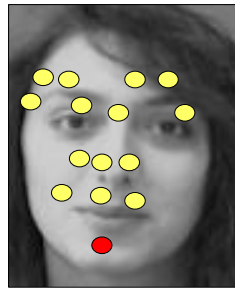
The normalized derivative profile for landmark j in image i

$$\bar{\mathbf{y}}_j = \frac{1}{N} \sum_{i=1}^N \mathbf{y}_{ij}$$

The mean normalized derivative profile for landmark j

Image Search

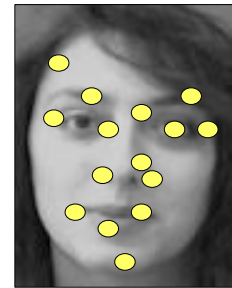
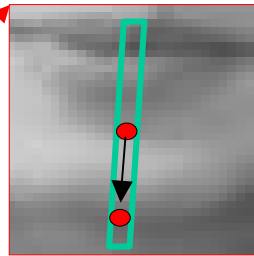
Using the shape and the gray level information to find instances of shapes in new images



Start with an initial shape



Search around landmarks for expected gray levels and propose a new shape



Generally, an unallowable shape is obtained



Force only main modes of variation



Find the required rotation, scaling, translation, and shape parameters updates needed to deform the current estimate to the proposed shape

Notes

Extensions to ASM

- Automatic landmark generation for PDMs
- Multi resolution image search
- Active Appearance Models (AAMs)
- Genetic algorithms
- Extension to 3D data
- Other shape representations
- Classification using ASM

References

- Image Processing, Analysis and Machine Vision. By Sonka, Hlavac and Boyle. Second edition. PWS Publishing (pages 374-390).
- Snakes: Active Contour Models. Kass, Witkin and Terzopoulos. International Journal of Computer Vision. 1(4):321-331, 1987.
- Cootes, Taylor, Cooper and Graham. Active Shape Models - Their Training and Application. Computer Vision and Image Understanding January 1995, volume: 61, No. 1, page(s): 38-59.